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PTO/SB/05 (2/98)

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.

210121.41C1

BOX-SEP

First Inventor or Application Identifier

Jiangchun Xu

Title

COMPOUNDS FOR IMMUNOTHERAPY AND DIAGNOSIS
OF COLON CANCER AND METHODS FOR THEIR USE

Express Mail Label No.

EL255095431US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

1. ☐ General Authorization Form & Fee Transmittal
(Submit an original and a duplicate for fee processing)

2. ☒ Specification [Total Pages] **69**
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention

- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

☒ Drawing(s) (35 USC 113) [Total Sheets] **4**

4. Oath or Declaration [Total Pages] **4**

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b)

5. Incorporation By Reference (useable if box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b, is
considered to be part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☒ Computer-Readable Copy
- b. ☒ Paper Copy (identical to computer copy)
- c. ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))

9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard

14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☒ Other: Certificate of Express Mail

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☒ Continuation-In-Part (CIP)

of prior Application No.: 09/221,298

Prior application information: Examiner _____

Group / Art Unit _____

☐ Claims the benefit of Provisional Application No. _____

CORRESPONDENCE ADDRESS

David J. Maki
Seed and Berry LLP
6300 Columbia Center
701 Fifth Avenue
Seattle, Washington 98104-7092
(206) 622-4900 phone
(206) 682-6031 fax

Respectfully submitted,

TYPED or PRINTED NAME David J. Maki

SIGNATURE David J. Maki

CACORIXA3315.DOC

REGISTRATION NO. 31,392

Date July 2, 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jiangchun Xu, Bellevue, WA
Filed : July 2, 1999
For : COMPOUNDS FOR IMMUNOTHERAPY AND DIAGNOSIS
OF COLON CANCER AND METHODS FOR THEIR USE

Docket No. : 210121.471C1

Date : July 2, 1999

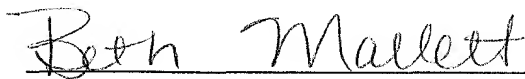
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Washington, DC 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL255095431US, on July 2, 1999, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,
SEED and BERRY LLP


Beth Mallett

Enclosures:

Postcard
Form PTO/SB/05
Specification, Claims, Abstract (69 pages)
Hard copy of Sequence Listing (36 pages)
Four Sheets of Drawings (Figures 1A-1D)
Declaration re Sequence Listing
Diskette containing Sequence Listing